



## AN ANALYTICAL MODEL OF SUBSTRATE CURRENT IN A SHORT CHANNEL MOSFET

Md. Maniruzzaman\*, Md. Shamsur Rahman Chowdhury,  
Mohammad Ashraf Ali Khan and Md. Shamim Ahsan

*Electronics and Communication Engineering Discipline, Khulna University, Khulna 9208, Bangladesh*

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**Abstract:** The drain characteristics of a short channel MOSFET operating in the avalanche multiplication regime depends strongly on the flow of substrate current  $I_{sub}$ . In this work, the detailed physics and the corresponding mathematical analysis of the substrate current model of a short channel MOSFET in its avalanche multiplication regime has been presented, which can be used to find the different characteristics of the short channel MOSFET for a wide range of device parameters. It is shown that by using a multiplication model, the substrate current can be obtained for a particular drain source voltage  $V_{DS}$ . The model system shows excellent results, which were confirmed by the computer-aided simulation program (MATLAB).

**Key words:** Physical model, substrate current model, short channel, MOSFET, multiplication factor

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### Introduction

In order to go on improving the speed and integrity of VLSI circuits comprised of MOSFETs and reducing its power consumption, at the same time breaking through technology and electrical characteristic limitations in the deep-submicrometer process, and providing technology support for simulating and designing VLSI quickly and accurately, it has been one of the hotspots to study the physical characteristics of the short channel MOSFETs and to develop its continuous and scaled-down analytical model (Yang *et al.*, 1999). The main applications of MOSFET are in VLSI/ULSI technology, which demands to reduce the device dimensions to improve the circuit performance. But, when the device dimensions are reduced by reducing the channel length, the behavior of the MOSFET departs from the long channel behavior, and the term 'short channel' comes into light for analyzing its effects in the device performance.

A number of analytical and numerical models on the breakdown of short channel MOSFET have been developed in the last few years (Kennedy and Phillips, 1973; Toyabe *et al.*, 1977; Sun *et al.*, 1978; Hsu *et al.*, 1982, 1983; Muller *et al.*, 1982; Hu *et al.*, 1982; Yang *et al.*, 1999; Skotnicki *et al.*, 2001). According to the physics of the short channel MOSFET device, the drain current in the avalanche multiplication regime consists of four different current components: channel current

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\* Corresponding author: mobile: +88-01914-066284, e-mail: <m\_m\_zaman@hotmail.com>

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$I_{ch}$ , substrate current  $I_{sub}$ , excess channel current  $\Delta I_{ch}$  and emitter current  $I_e$ . But, excess channel current  $\Delta I_{ch}$  and emitter current  $I_e$  are functions of substrate current  $I_{sub}$  (Tsividis, 2006). In this paper, the analytical model of substrate current  $I_{sub}$  for a short channel MOSFET has been developed, as it is the most influencing factor in determining the drain characteristics of a short channel MOSFET in the avalanche multiplication regime. A computer-aided simulation program using MATLAB is used to analyze the outputs of the substrate current model.

## Materials and Methods

**Physical model of breakdown in a short channel MOSFET:** The breakdown in a short channel MOSFET is not a simple junction avalanche breakdown, rather, it is a breakdown induced by avalanche multiplication. Two consecutive phases of breakdown occur in such a small channel MOSFET (Skotnicki *et al.*, 2001) - first, the rise in drain current is caused by the enhanced body effect (EBE) and then the sharp rise in drain current is initiated by the parasitic bipolar action of the MOSFET.

The physical mechanism of EBE is that the holes, multiplied in the drain junction, bias the substrate spreading resistance when flowing towards the substrate terminal. This bias corresponds to a reduction in the channel region depletion width, and thus, releases a certain amount of bulk charge of ionized impurities, which was initially tied by the gate field. This reduction in bulk charge implies a threshold lowering of the MOSFET and enhancement of inversion layer charge, so as to preserve the overall charge neutrality. This EBE is quite different from the body effect resulting from the applied external positive biasing of the bulk (body), which also causes a reduction in threshold. But the difference between these two body effects results from the fact that the ordinary body effect does not involve any electric field in the bulk, whereas, the EBE requires a non-vanishing electric field in the bulk, necessary to drive the substrate current. In case of EBE, the variation in potential in the bulk no longer disappears along the depth from depletion edge boundary to the substrate terminal and the substrate current in the bulk flows due to drift of the holes rather than diffusion. The holes after multiplication spread towards the bulk and follow the doping distribution ( $p = n$ ) which makes the gradient of concentration along the depth of the MOSFET zero and leads to zero diffusion current in the bulk.

The mechanism of bipolar induced breakdown due to impact ionization in a short channel MOSFET has been studied by many researchers. Channel electrons that travel through the high-field avalanche region cause impact ionization. The electric field in the high-field region sweeps the electrons generated by impact ionization into the drain, and holes into the bulk. The holes created at the high field avalanche region first causes a reduction in the channel region depletion width, and thus lowers the threshold voltage  $V_T$  of the MOSFET, and then the ohmic drop caused by the hole flowing through the high resistivity substrate forward-biases the source junction to initiate the bipolar action of the MOSFET, and hence cause it to inject electrons. Part of these injected electrons travel to the drain junction and will further be multiplied by the drain field, causing positive feedback action. So, after the bipolar turn-on of the MOSFET, the drain current ( $I_d$ ) consists of channel current ( $I_{ch}$ ), excess channel current ( $\Delta I_{ch}$ ) due to threshold lowering of the MOSFET and the collector current ( $I_c$ ) of the bipolar transistor. On the other hand, the hole current ( $I_m$ ) due to avalanche multiplication,

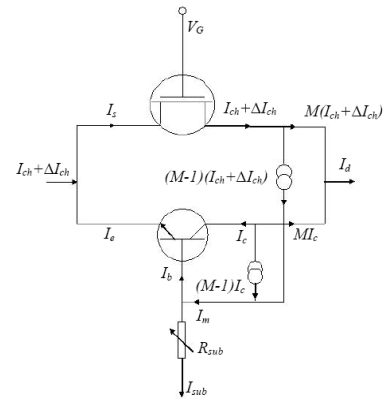


Fig. 1. Equivalent circuit of a short channel MOSFET after the bipolar turn-on.

consists of base current ( $I_b$ ) and the substrate current ( $I_{sub}$ ).

From the equivalent circuit (Fig. 1) of a MOSFET after the bipolar turn-on, the necessary equations relating to different current components can be represented as follows:

$$I_d = M(I_c + I_{ch} + \Delta I_{ch}) \quad (1)$$

$$I_m = I_{sub} + I_b = (M - 1)(I_c + I_{ch} + \Delta I_{ch}) \quad (2)$$

where,  $M$  is the multiplication factor.

Now, manipulating Eqs. (1) and (2), we get,

$$I_m = \left(1 - \frac{1}{M}\right)I_d \quad (3)$$

But, the drain current is related to substrate current as follows (from Eqs. 1 and 2):

$$\begin{aligned} I_d &= M(I_c + I_{ch} + \Delta I_{ch}) \\ &= (M - 1)(I_c + I_{ch} + \Delta I_{ch}) + (I_c + I_{ch} + \Delta I_{ch}) \\ &= I_m + (I_c + I_{ch} + \Delta I_{ch}) \\ &= I_{sub} + I_b + (I_c + I_{ch} + \Delta I_{ch}) \\ &= I_{sub} + I_e + I_{ch} + \Delta I_{ch} \\ \Rightarrow I_d &= I_{sub} + I_s \end{aligned} \quad (4)$$

where,

$I_e (= I_b + I_c)$  is the emitter current, and  $I_s (= I_e + I_{ch} + \Delta I_{ch})$  is the source current.

So, manipulating the Eqs. (2)-(4), the expression for substrate current can be given by the relation,

$$\begin{aligned} I_{sub} + I_b &= I_m = \left(1 - \frac{1}{M}\right)I_d = \left(1 - \frac{1}{M}\right)(I_{sub} + I_b) \\ \Rightarrow I_{sub} &= \left(1 - \frac{1}{M}\right)(I_{sub} + I_s) - I_b \end{aligned} \quad (5)$$

If the multiplication factor  $M$  can be found, we shall be able to find the substrate current  $I_{sub}$ .

Thus, the substrate current is a function of multiplication factor  $M$  as shown in Eq. (5). But, the general expression of multiplication factor  $M$  (Hasler *et al.*, 1998) is given by

$$1 - \frac{1}{M} = \int_{x_n}^{x_p} \alpha_n \exp\left[-\int_x^{x_p} (\alpha_n - \alpha_p) dx'\right] dx = \int_0^{\Delta L} \alpha_{eff} dx \quad (6)$$

$$\text{But, } \alpha_{eff} = A_i e^{-\frac{B_i}{g}} \quad (7)$$

where,  $\alpha_n$  and  $\alpha_p$  are ionization rates for electrons and holes respectively, and,  $A_i$  and  $B_i$  are ionization coefficients.

In a short channel MOSFET, the substrate current is composed of holes generated by impact ionization in the drain regime due to high lateral electric field.

So, embedding Eq. (7) into Eq. (6), and also considering applied lateral electric field  $E$  in  $y$  direction, we obtain,

$$1 - \frac{1}{M} = \int_0^{\Delta L} \alpha_{eff} dy = \int_0^{\Delta L} A_i e^{-\frac{B_i}{g}} dy = \int_0^{\Delta L} A_i e^{-\frac{B_i}{g}} \frac{dy}{dE} dE \quad (8)$$

Since the lateral electric field  $E$  in the channel increases sharply toward the drain and substrate current is very strongly dependent on the field, Eq. (8) reduces approximately to

$$1 - \frac{1}{M} \approx \left. \frac{dy}{dE} \right|_{E_{SD}} A_i \int_{E_{SAT}}^{E_{SD}} e^{-\frac{B_i}{g}} dE \quad (9)$$

$$\begin{aligned} &\approx A_i \left. \frac{dy}{dE} \right|_{E_{SD}} \left[ E^2 + e^{-\frac{B_i}{g}} \left( 1 - \frac{2}{B_i} E + 6 \frac{E^2}{B_i^2} \right) \right]_{E_{SAT}}^{E_{SD}} \\ &\approx \frac{A_i}{B_i} E_{SD}^2 \left. \frac{dy}{dE} \right|_{E_{SD}} \left( 1 - 2 \frac{E_{SD}}{B_i} + 6 \frac{E_{SD}^2}{B_i^2} \right) e^{-\frac{B_i}{g_{SD}}} \\ &\quad - \frac{A_i}{B_i} E_{SAT}^2 \left. \frac{dy}{dE} \right|_{E_{SD}} \left( 1 - 2 \frac{E_{SAT}}{B_i} + 6 \frac{E_{SAT}^2}{B_i^2} \right) e^{-\frac{B_i}{g_{SAT}}} \\ &= (C_1 - C_2) \end{aligned} \quad (10)$$

$$\text{i.e., } 1 - \frac{1}{M} = C_1 - C_2 \quad (11)$$

where,

$$C_1 = \frac{A_i}{B_i} E_{SD}^2 \left. \frac{dy}{dE} \right|_{E_{SD}} \left( 1 - 2 \frac{E_{SD}}{B_i} + 6 \frac{E_{SD}^2}{B_i^2} \right) e^{-\frac{B_i}{g_{SD}}} \quad (12)$$

and

$$C_2 = \frac{A_i}{B_i} E_{SAT}^2 \left. \frac{dy}{dE} \right|_{E_{SD}} \left( 1 - 2 \frac{E_{SAT}}{B_i} + 6 \frac{E_{SAT}^2}{B_i^2} \right) e^{-\frac{B_i}{g_{SAT}}} \quad (13)$$

Now, the substrate current  $I_{sub}$  can be represented as follows by using the Eqs. (5) and (11):

$$I_{sub} = (C_1 - C_2)(I_{sub} + I_s) - I_b \quad (14)$$

However, the lateral electric field  $E$  can be related to drain source voltage (Tam *et al.*, 1992) as,

$$E = \sqrt{A^2(V - V'_{DS})^2 + E_{SAT}^2} \quad (15)$$

where,

$$A = \sqrt{\frac{\frac{\epsilon_{ox}}{\epsilon_o}}{t_{ox} \{d_j + 0.01(V - V'_{DS})(d_l - d_j)\}}} \quad (16)$$

and

$$d_l = \sqrt{\left(\frac{2\epsilon_o}{qN_{sub}}\right)(V'_{DS} + 2\phi_f)};$$

$$\frac{dE}{dy} = \frac{dV}{dy} \frac{dE}{dV} = E \frac{dE}{dV} \quad (17)$$

( $V$  is the lateral potential along the y direction and  $\frac{dV}{dy} = E$ ).

By observing the above equations, we find that the multiplication factor  $M$  is a strong function of lateral electric field near the drain junction, and thus is a function of drain source voltage  $V_{DS}$ , oxide thickness  $t_{ox}$ , junction depth  $d_j$  and substrate doping  $N_{sub}$ . The substrate current can be found from Eq. (14) by knowing the parameters  $C_1$  and  $C_2$ . The essential variable required to evaluate these parameters are the

electric field  $E_{SD}$  and the gradient of electric field  $\left.\frac{dE}{dy}\right|_{E_{SD}}$  at the drain end of the channel which can be

found in the following way (differentiating Eq. (15)):

$$\begin{aligned} \frac{dE}{dV} &= \frac{d}{dV} \left\{ A^2(V - V'_{DS})^2 + E_{SAT}^2 \right\}^{1/2} = \frac{(V - V'_{DS})}{E} A^2 \left[ 1 + (V - V'_{DS}) \frac{1}{A} \frac{dA}{dV} \right] \\ \Rightarrow E \frac{dE}{dV} &= (V - V'_{DS}) A^2 \left[ 1 + (V - V'_{DS}) \frac{1}{A} \frac{dA}{dV} \right] \end{aligned} \quad (18)$$

Putting  $x_1 = \frac{\epsilon_{ox}}{\epsilon_o}$  and  $y_1 = t_{ox} \{d_j + 0.01(V - V'_{DS})(d_l - d_j)\}$  in Eq. (16), and then differentiating and manipulating them,

$$\begin{aligned}
 \frac{dA}{dV} &= -\frac{x_1^{1/2}}{y_1} \frac{d}{dV} \left( y_1^{1/2} \right) = -\frac{\left( x_1^{1/2} / y_1^{1/2} \right)}{2y_1} \frac{d}{dV} \left[ t_{ox} \left\{ d_j + 0.01(V - V'_{DS})(d_l - d_j) \right\} \right] \\
 &= -\frac{A}{2y_1} t_{ox} \left\{ 0.01(d_l - d_j) \right\} \\
 \Rightarrow \frac{1}{A} \frac{dA}{dV} &= -\frac{1}{2y_1} t_{ox} \left\{ 0.01(d_l - d_j) \right\} = -bA^2 \tag{19}
 \end{aligned}$$

where,  $b = \frac{1}{2} 0.01(d_l - d_j) \epsilon_o \frac{t_{ox}}{\epsilon_{ox}}$

From Eqs. (17)-(19), we get,

$$\begin{aligned}
 \frac{dE}{dy} &= E \frac{dE}{dV} = (V - V'_{DS}) A^2 \left[ 1 + (V - V'_{DS}) \frac{1}{A} \frac{dA}{dV} \right] = (V - V'_{DS}) A^2 \left[ 1 - bA^2(V - V'_{DS}) \right] \\
 \Rightarrow \frac{dE}{dy} &= A^2 (V - V'_{DS}) \left[ 1 - bA^2(V - V'_{DS}) \right] \tag{20}
 \end{aligned}$$

The lateral electric field  $E_{SD}$  and the gradient  $\left. \frac{dE}{dy} \right|_{E_{SD}}$  at the drain end can be found by replacing  $V$  in

Eqs. (15) and (20) by drain source voltage  $V_{DS}$ .

## Results

The substrate current  $I_{sub}$  can be calculated from the Eq. (14). However, the substrate current  $I_{sub}$  depends on the lateral electric field  $E_{SD}$ , which can be calculated or modeled from the Eqs. (15) and (20).

The effect of drain source voltage  $V_{DS}$  on lateral electric field  $E_{SD}$  has been depicted in Fig. 2, which was obtained by a computer-aided simulation program in the MATLAB platform following the concepts of the Eqs. (15)-(20).

On the other hand, Figs. 3 and 4 show the substrate current ( $I_{sub}$ ) vs. drain source voltage ( $V_{DS}$ ) characteristics for  $V_{GS} = 3V$  and  $V_{GS} = 4V$ , respectively, which were obtained from the Eqs. (14) and (15) by using MATLAB software.

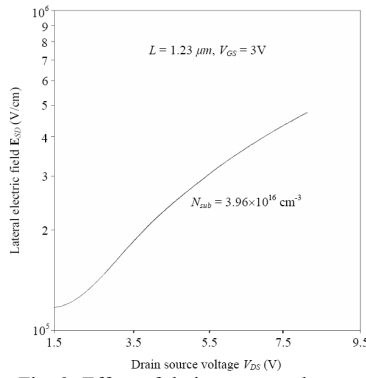


Fig. 2. Effect of drain source voltage on lateral electric field.

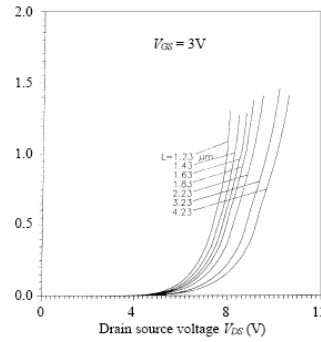


Fig. 3. Substrate current vs. drain source voltage characteristics for  $V_{GS} = 3V$ .

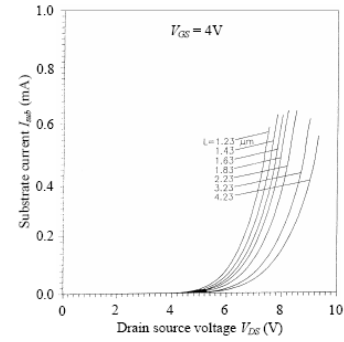


Fig. 4. Substrate current vs. drain source voltage characteristics for  $V_{GS} = 4V$

## Discussion

Lateral electric field in a short channel MOSFET is a strong function of drain source voltage  $V_{DS}$ . The electric field  $E_{SD}$  near drain end of the channel at pinch-off or saturation point is given by  $E_{SAT}$  and must increase with  $V_{DS}$  beyond pinch-off. This can be explained from Eq. (15). For a fixed channel length ( $L$ ) and substrate doping  $N_{sub}$ ,  $V'_{DS}$  (typically 1-2V below the drain source voltage  $V_{DS}$ , so that the substrate current can be detected) is fixed. Although the parameter  $A$  decreases with  $V_{DS}$  but the increase of the term  $(V_{DS} - V'_{DS})$  with  $V_{DS}$  overcompensates the decrease and ultimately the product of the two increases with  $V_{DS}$ . So, the electric field  $E_{SD}$  increases with  $V_{DS}$  and the plot shown in Fig. 2 agrees well with the fact.

Figs. 3 and 4 show the variation of substrate current  $I_{sub}$  with  $V_{DS}$  for two different values of  $V_{GS}$  (3V and 4V, respectively) for a series of MOSFET differing only in channel lengths ( $L$ ). These plots show that the substrate current increases with  $V_{DS}$  which is quite obvious and can be explained from Eqs. (14) and (15). The substrate current is a strong function of multiplication factor  $M$  as shown from Eqs. 5 and (14). The multiplication factor  $M$  on the other hand increases with  $V_{DS}$  due to the increase of  $E_{SD}$  with  $V_{DS}$  as given by Eqs. (15) and (20). These facts justify the increase of substrate current with  $V_{DS}$ . The effect of  $V_{GS}$  on substrate current can be explained by considering two conflicting factors. The substrate current  $I_{sub}$  depends on both ionization rate  $\alpha_{eff}$  and channel current  $I_{ch}$  (Sze, 2006). When  $V_{GS}$  increases  $I_{ch}$  increases, but  $\alpha_{eff}$  decreases due to the decrease of electric field  $E_{SD}$ . The decrease in  $E_{SD}$  is due to the increase of  $V'_{DS}$  with  $V_{GS}$ . The increase in channel current tends to increase the substrate current  $I_{sub}$ , whereas, the decrease in  $\alpha_{eff}$  tends to decrease the substrate current  $I_{sub}$ . Ultimately, the

substrate current for a fixed drain source voltage is determined by the factor of the two (i.e.,  $\alpha_{eff}$  and  $I_{ch}$ ) which dominates the other.

## Conclusion

Based on basic physical effects of short channel MOSFETs, an accurate analytical physical substrate current model has been developed. The results based on the model show that the multiplication induced substrate current is the main reason that leads to the breakdown of the short channel MOSFET. The major components of the drain current that contribute to these breakdown phenomena are excess channel current and emitter current, both of which are determined by the substrate current. But, the substrate current again depends on the source current and the multiplication factor  $M$ , which is a strong function of electric field  $E_{SD}$  at the drain end.

Knowing the substrate current  $I_{sub}$ , the excess channel current  $\Delta I_{ch}$  and the emitter current  $I_e$  and finally, the drain characteristics can be obtained. Being analytic model, it can be calculated faster and used in VLSI circuit and device simulation. Furthermore, the model being physically based, it can also be scaled down and predict characteristics of diminished devices as well.

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